



Lecture 4 Spatial Filtering

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Outline

□ Spatial Filtering

- Fundamentals of Spatial Filtering
- Smoothing Spatial Filters
- Sharpening Spatial Filters

Review

□ Operation Types

❖ Point Operation

- Gray-level transformation

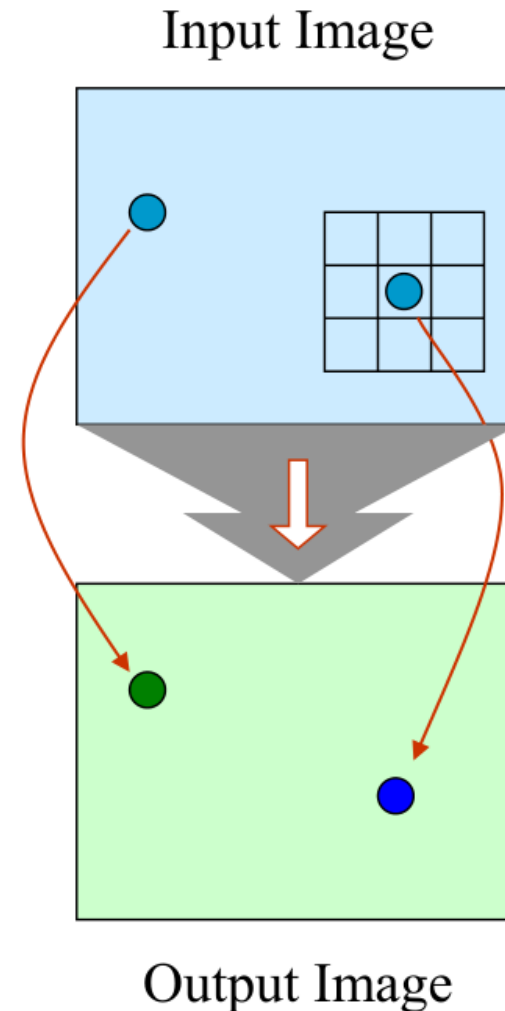
❖ Local Operation

- Mask Processing or filtering

❖ Global Operation

- Use values of all pixels
- (e.g.) Fourier transform

Histogram equalization, etc



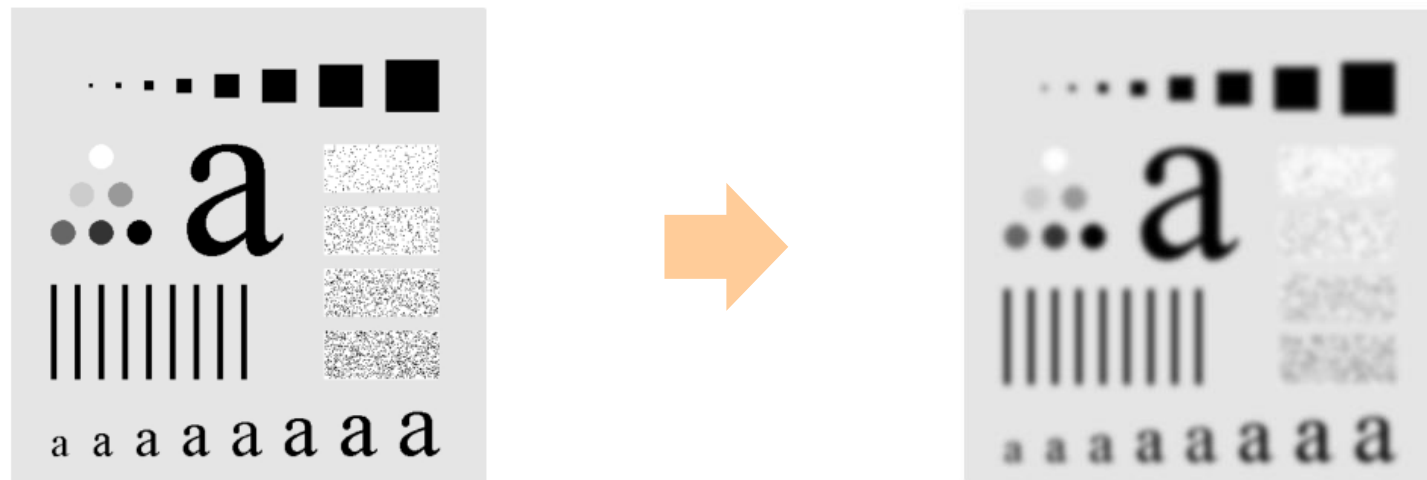
Fundamentals of Spatial Filtering

□ Filter

- Borrowed from frequency domain processing
- Accepting (passing) or rejecting certain frequency components.

□ E.g. lowpass filter

- blur (smooth) an image



Fundamentals of Spatial Filtering

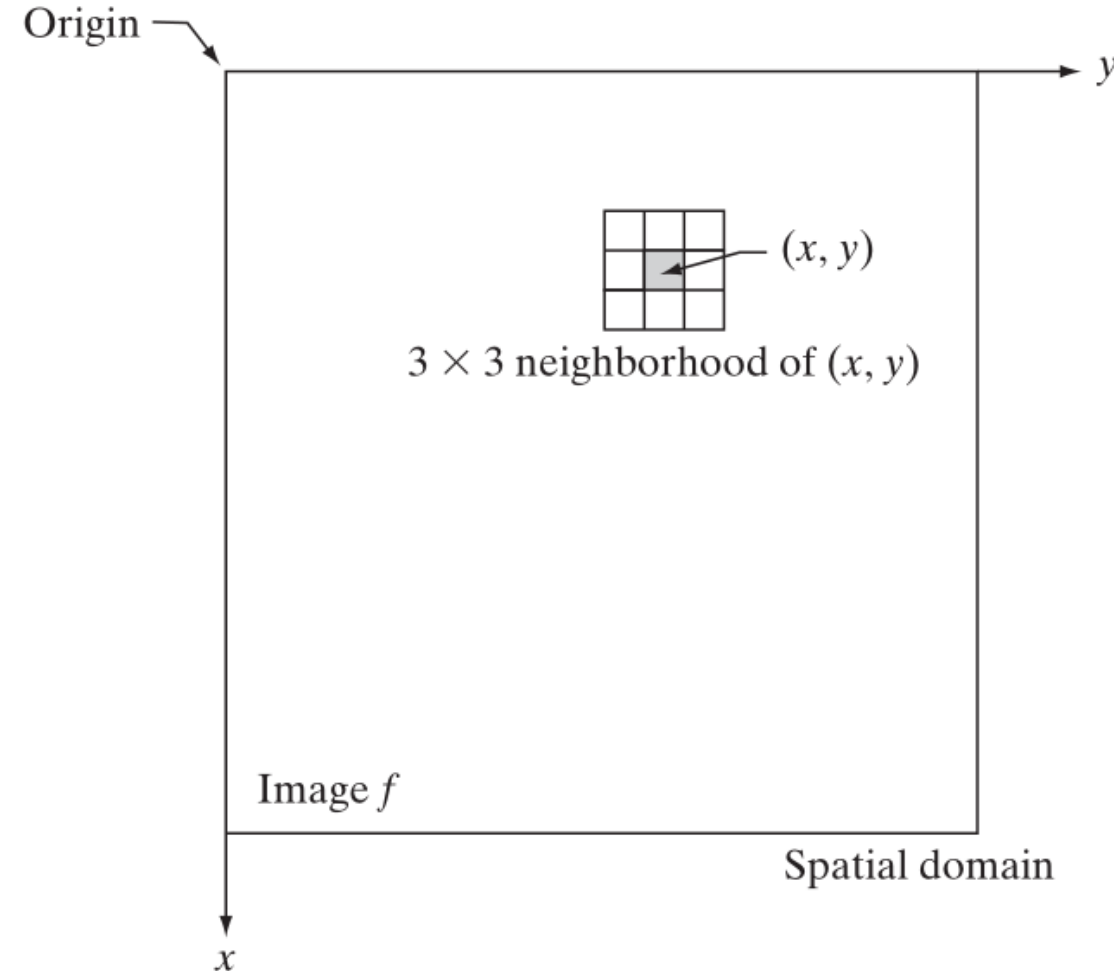
□ The Mechanics of Spatial Filtering

➤ A filter consists of:

1) a neighborhood

2) a predefined operation

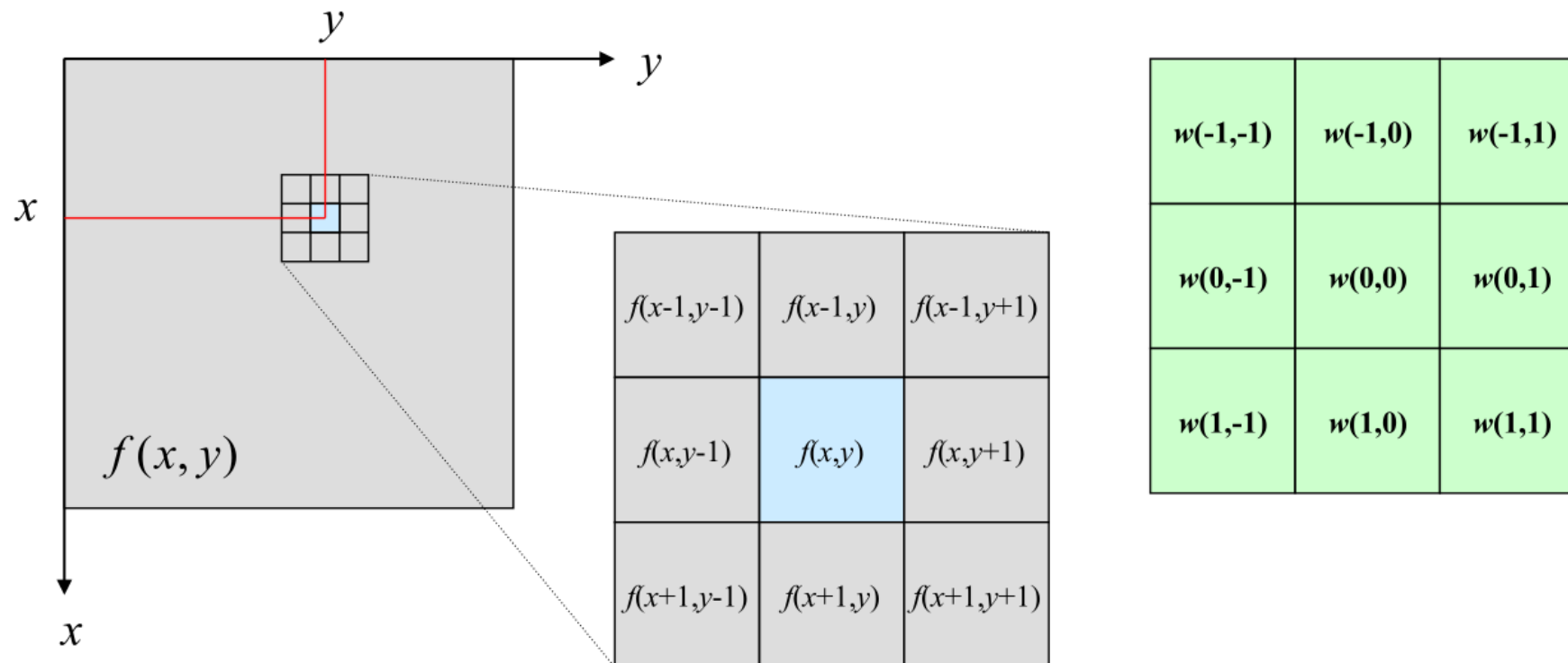
- Linear spatial filter – if the operation performed on the image pixels is linear
- Nonlinear - otherwise



Fundamentals of Spatial Filtering

Linear filtering with a filter mask

$$g(x, y) = \sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x + s, y + t)$$



Fundamentals of Spatial Filtering

□ Spatial Correlation

Step 1)

$$g(x, y) = \sum_{s=-1}^1 \sum_{t=-1}^1 w(s, t) f(x + s, y + t)$$

1	2	0	3	1
0	3	2	1	0
2	1	5	2	4
3	1	0	1	2
0	3	2	6	0

*

-1	-1	-1
0	0	0
1	1	1



5		

Fundamentals of Spatial Filtering

□ Spatial Correlation

Step 2)

$$g(x, y) = \sum_{s=-1}^1 \sum_{t=-1}^1 w(s, t) f(x + s, y + t)$$

1	2	0	3	1
0	3	2	1	0
2	1	5	2	4
3	1	0	1	2
0	3	2	6	0

*

-1	-1	-1
0	0	0
1	1	1



5	5	

Fundamentals of Spatial Filtering

□ Spatial Correlation

Step 9)

$$g(x, y) = \sum_{s=-1}^1 \sum_{t=-1}^1 w(s, t) f(x + s, y + t)$$

1	2	0	3	1
0	3	2	1	0
2	1	5	2	4
3	1	0	1	2
0	3	2	6	0

*

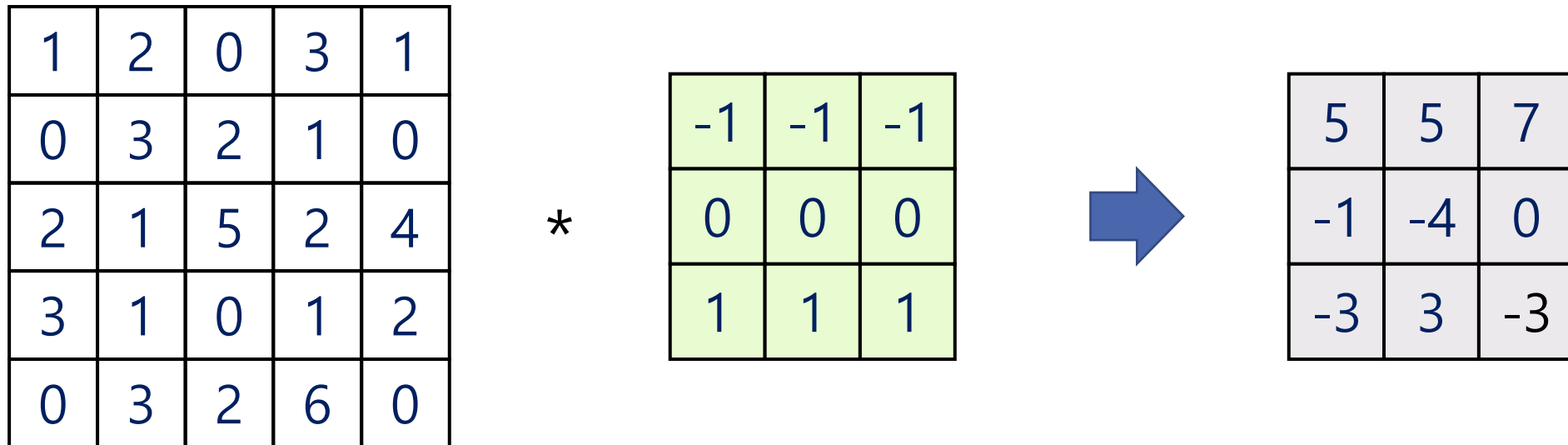
-1	-1	-1
0	0	0
1	1	1



5	5	7
-1	-4	0
-3	3	-3

Fundamentals of Spatial Filtering

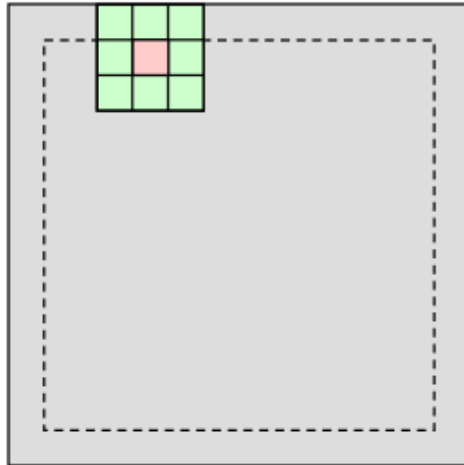
□ Spatial Correlation



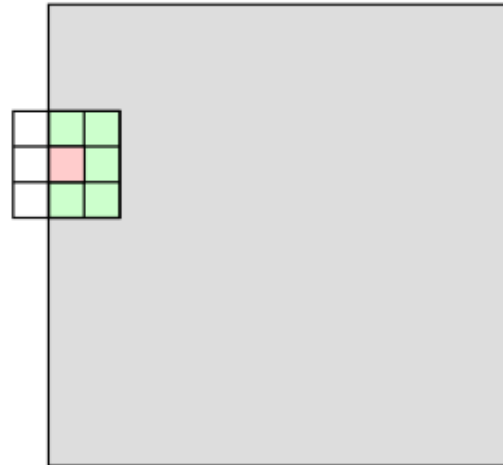
However, the image size changes

Fundamentals of Spatial Filtering

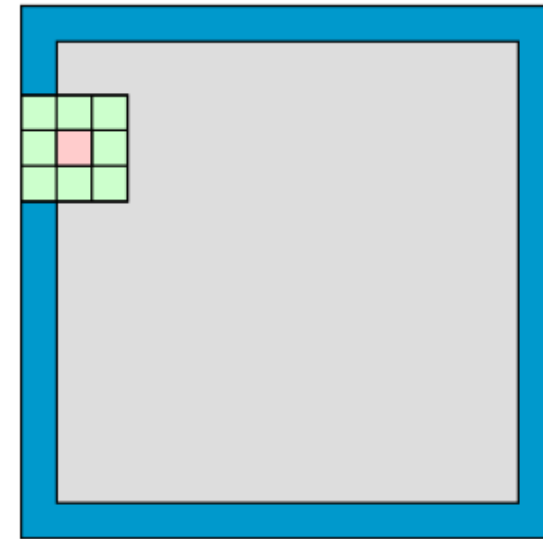
□ Spatial Correlation



Limit Filtering



Partial Filtering



Padding 0 or constant

Replicating columns/rows

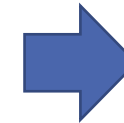
Fundamentals of Spatial Filtering

□ Spatial Padding

1	1	2	0	3	1	1
1	1	2	0	3	1	1
0	0	3	2	1	0	0
2	2	1	5	2	4	4
3	3	1	0	1	2	2
0	0	3	2	6	0	0
0	0	3	2	6	0	0

*

-1	-1	-1
0	0	0
1	1	1



Fundamentals of Spatial Filtering

□ Spatial Padding

1	1	2	0	3	1	1
1	1	2	0	3	1	1
0	0	3	2	1	0	0
2	2	1	5	2	4	4
3	3	1	0	1	2	2
0	0	3	2	6	0	0
0	0	3	2	6	0	0

*

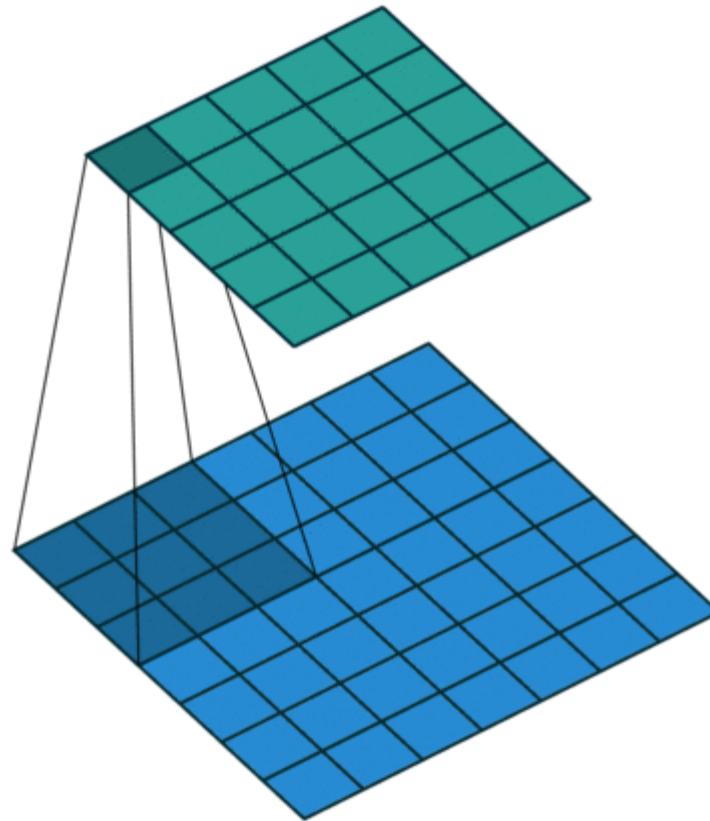
-1	-1	-1
0	0	0
1	1	1



-1	2	1	-1	-4
1	5	5	7	5
4	-1	-4	0	4
-2	-3	3	-3	-4
-4	1	9	5	1

Fundamentals of Spatial Filtering

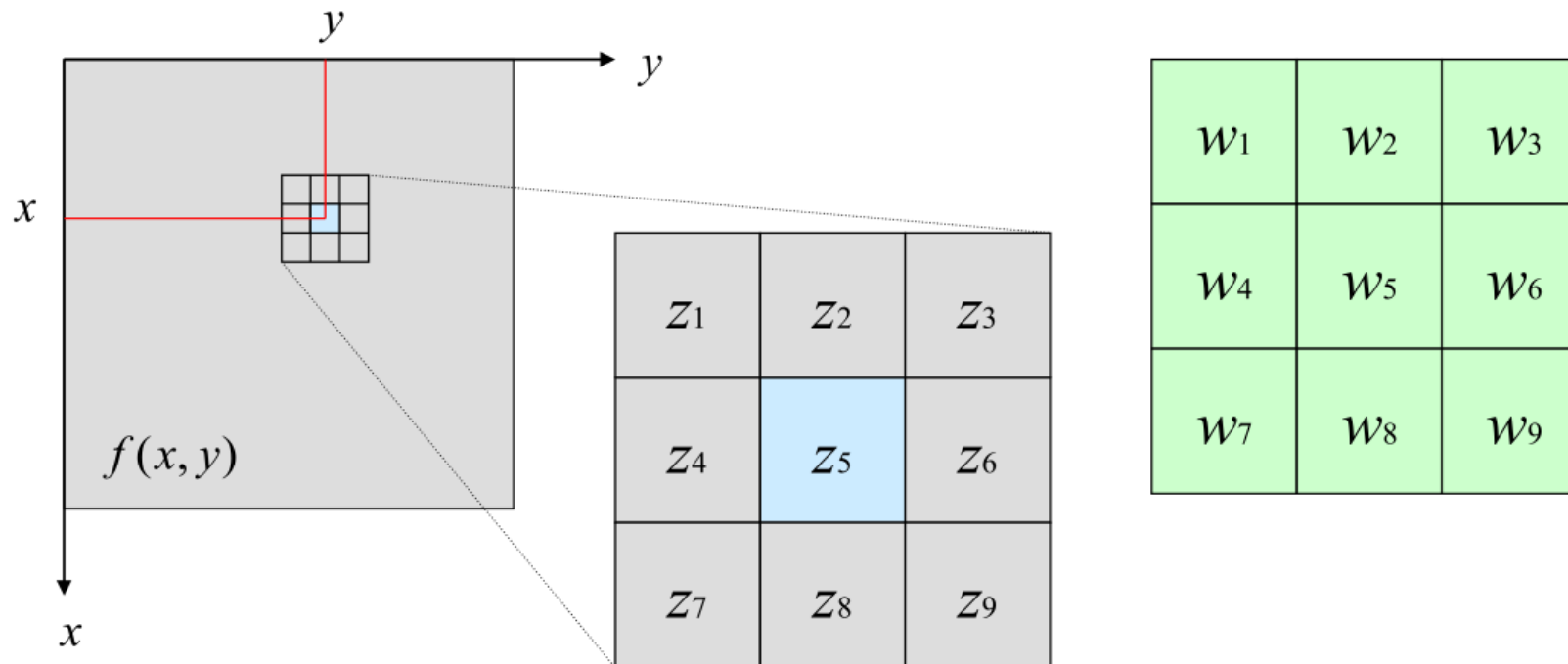
□ Spatial Correlation



Fundamentals of Spatial Filtering

□ Vector Representation of Linear Filtering

$$R = \sum_{i=1}^{mn} w_i z_i = \mathbf{w}^T \mathbf{z}$$



Fundamentals of Spatial Filtering

□ Generating Spatial Filter Masks

- The filter coefficients are selected with different purposes
- e.g. averaging filtering

$$\frac{1}{9} * \begin{array}{|c|c|c|} \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline \end{array}$$

$$R = \frac{1}{9} \sum_{i=1}^9 z_i$$

- e.g. nonlinear filtering: max operation

- a 5 * 5 max filter: the maximum intensity value of the 25 pixels and assigns that value to location (x, y)

Smoothing Spatial Filters

□ Classification

- Linear
- Nonlinear

□ Function of smoothing spatial filters

- Blurring or Noise reduction

□ Smoothing Linear Filters

- Output is the **average** of pixels in the neighborhood of the filter mask
- Function
 - Noise reduction
- Disadvantage
 - Undesirable side effect of blurring edges
- E.g.

$$\frac{1}{9} \times \begin{array}{|c|c|c|} \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline 1 & 1 & 1 \\ \hline \end{array} \quad \frac{1}{16} \times \begin{array}{|c|c|c|} \hline 1 & 2 & 1 \\ \hline 2 & 4 & 2 \\ \hline 1 & 2 & 1 \\ \hline \end{array}$$

Smoothing Spatial Filters

□ Smoothing Linear Filters

$$g(x, y) = \frac{\sum_{s=-a}^a \sum_{t=-b}^b w(s, t) f(x + s, y + t)}{\sum_{s=-a}^a \sum_{t=-b}^b w(s, t)}$$

$\frac{1}{9} \times$

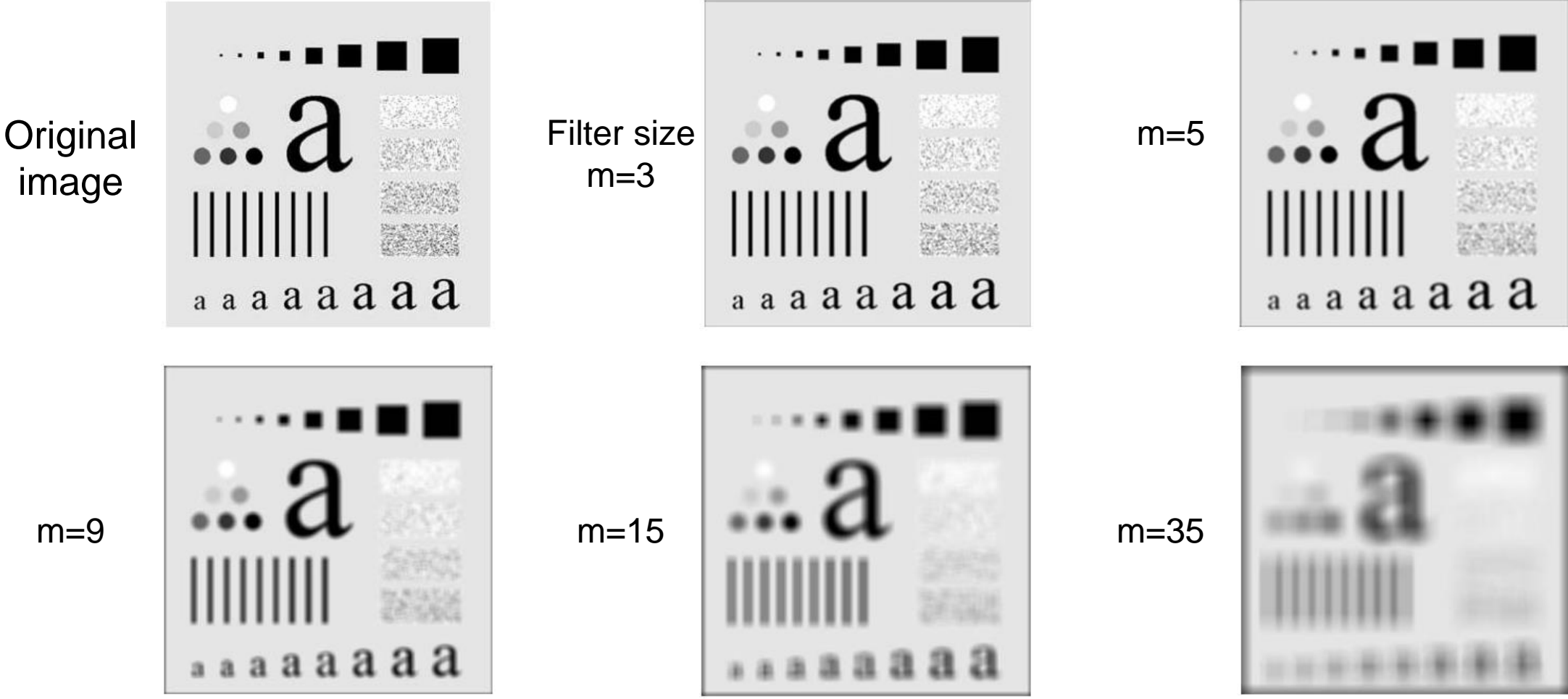
1	1	1
1	1	1
1	1	1

$\frac{1}{16} \times$

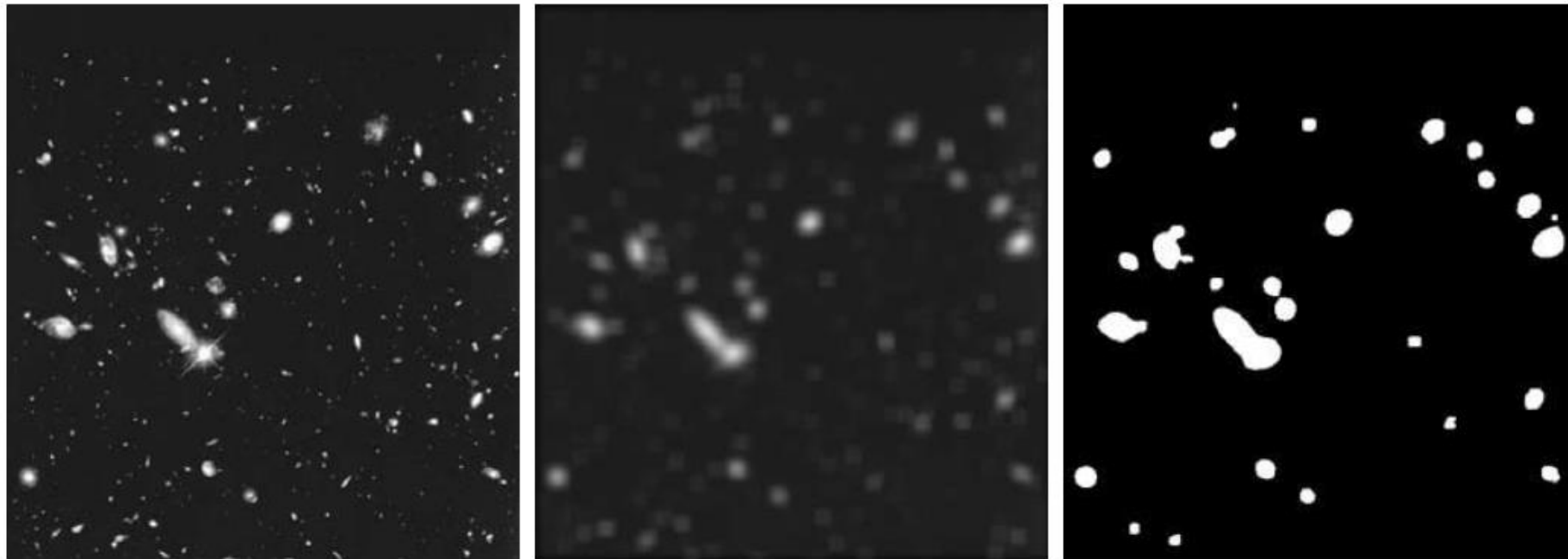
1	2	1
2	4	2
1	2	1

Weighted average

Effect of Smoothing for Different Filter Size



□ Effect of Smoothing for Different Filter Size



Original image

15×15 filter

After thresholding

Order-Statistic (Nonlinear) Filters

□ Nonlinear filters

- Based on ordering (ranking) the pixels in the image area encompassed by the filter
- Commonly used
 - Median filter: effective for **salt-and-pepper noise**

10	20	20
20	100	15
20	20	25

Image area



(10,20,20,20,100,15,20,20,25)



reordering

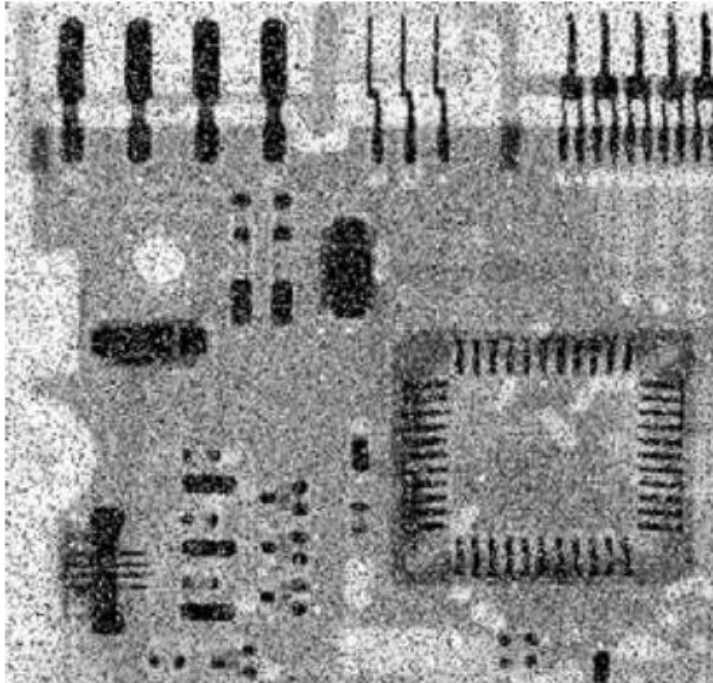
(10,15,20,20,20,20,20,25,100)



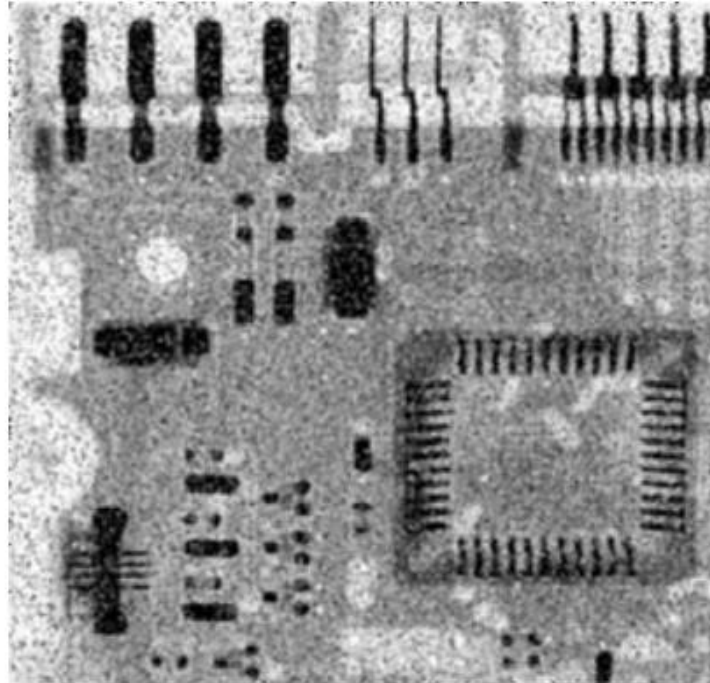
10	20	20
20	20	15
20	20	25

Order-Statistic (Nonlinear) Filters

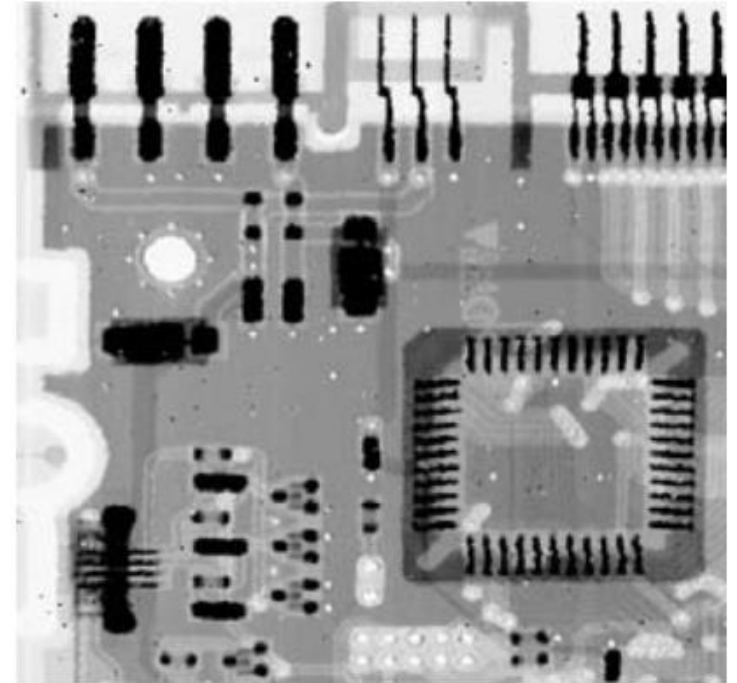
□ Application



X-ray image with salt-and-pepper noise



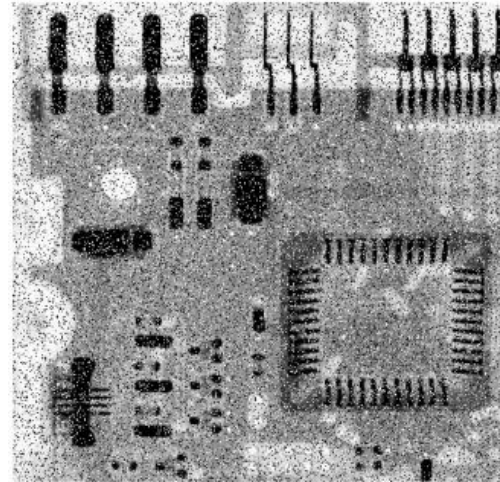
Average filtering of 3×3 size



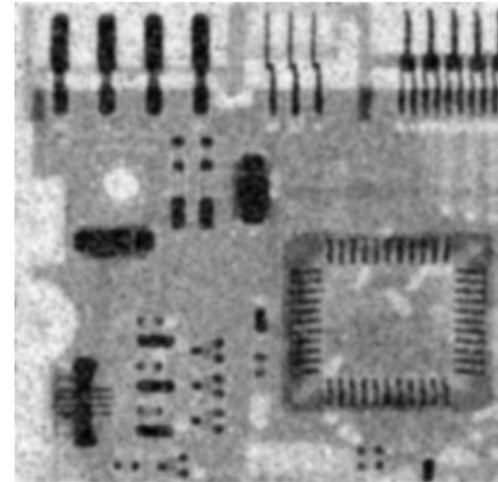
Median filtering of 3×3 size

Order-Statistic (Nonlinear) Filters

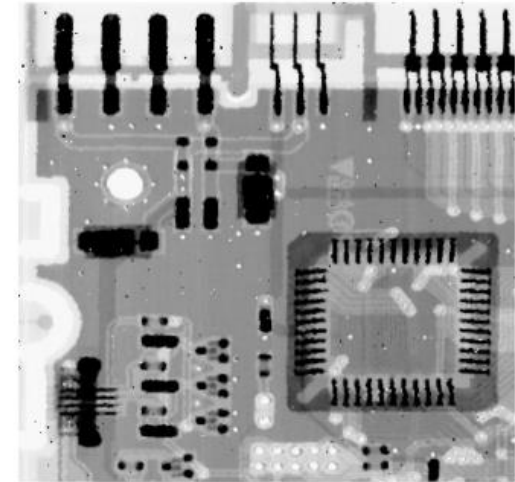
□ Application



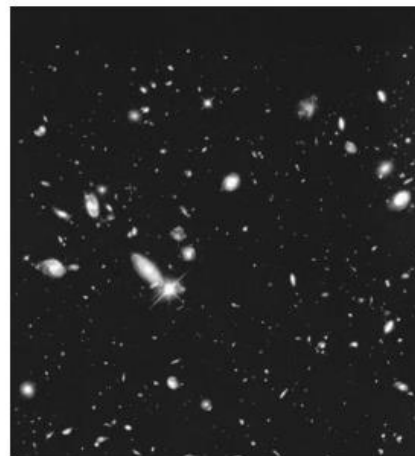
Salt-and-Pepper Noise



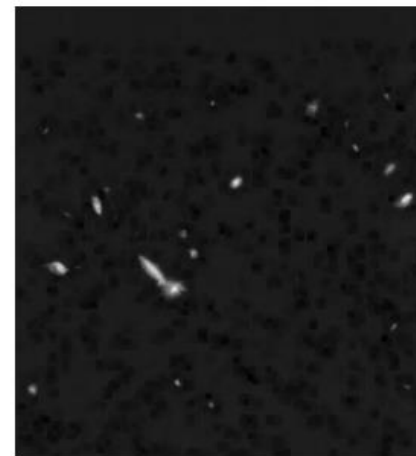
Gaussian Smoothing



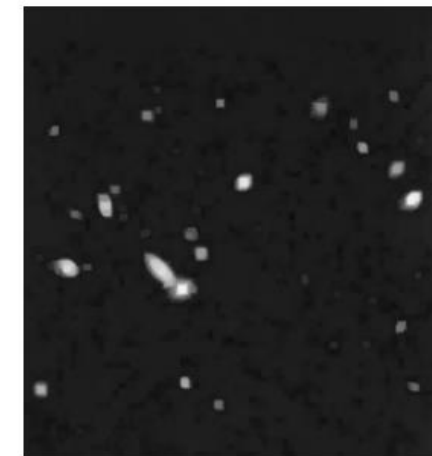
Median Filtering



Original Image



Min Filtering



Min and Max Filtering

Sharpening Spatial Filters

□ Sharpening

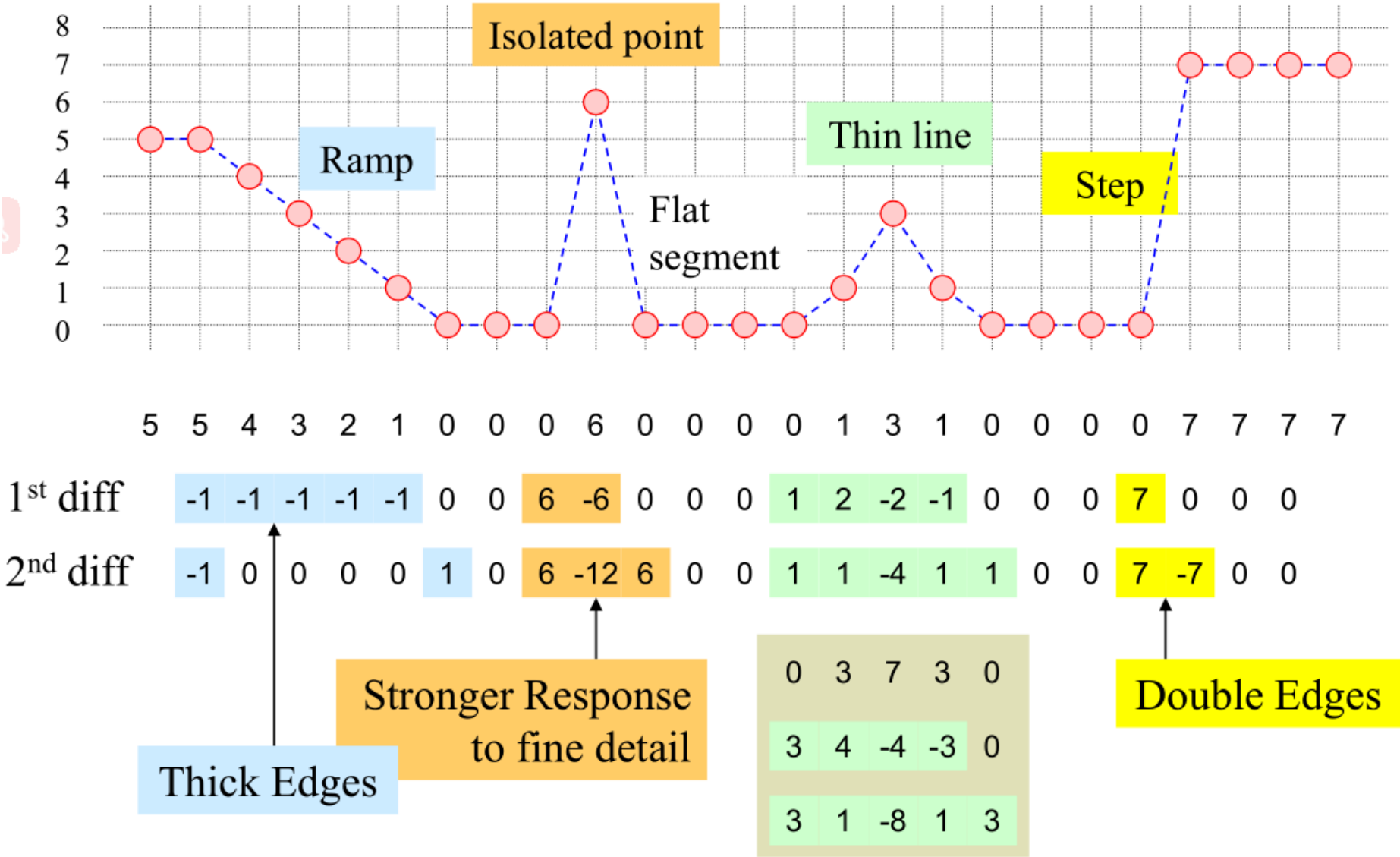
- Highlight fine detail in an image or enhance detail that has been blurred
- Spatial differentiation could be used for sharpening, while spatial integration for smoothing

□ Effects of 1st and 2nd differences

$$\frac{df}{dx} = f(x + 1) - f(x)$$

$$\frac{d^2f}{dx^2} = f(x + 1) + f(x - 1) - 2f(x)$$

Sharpening Spatial Filters



□ Second Derivative – Laplacian

- Isotropic: rotation invariant
- Linear operator

$$\nabla^2 f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2}$$

$$\nabla^2 (af(x, y) + bg(x, y)) = \nabla^2 (af(x, y)) + \nabla^2 (bg(x, y)) = a\nabla^2 f + b\nabla^2 g$$

- Discrete form

$$\frac{\partial^2 f}{\partial x^2} = f(x + 1, y) + f(x - 1, y) - 2f(x, y)$$

$$\frac{\partial^2 f}{\partial y^2} = f(x, y + 1) + f(x, y - 1) - 2f(x, y)$$

Sharpening Spatial Filters

$$\begin{aligned}\nabla^2 f &= \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} \\ &= f(x+1, y) + f(x-1, y) + \\ &\quad f(x, y+1) + f(x, y-1) - 4f(x, y)\end{aligned}$$

0	1	0
1	-4	1
0	1	0

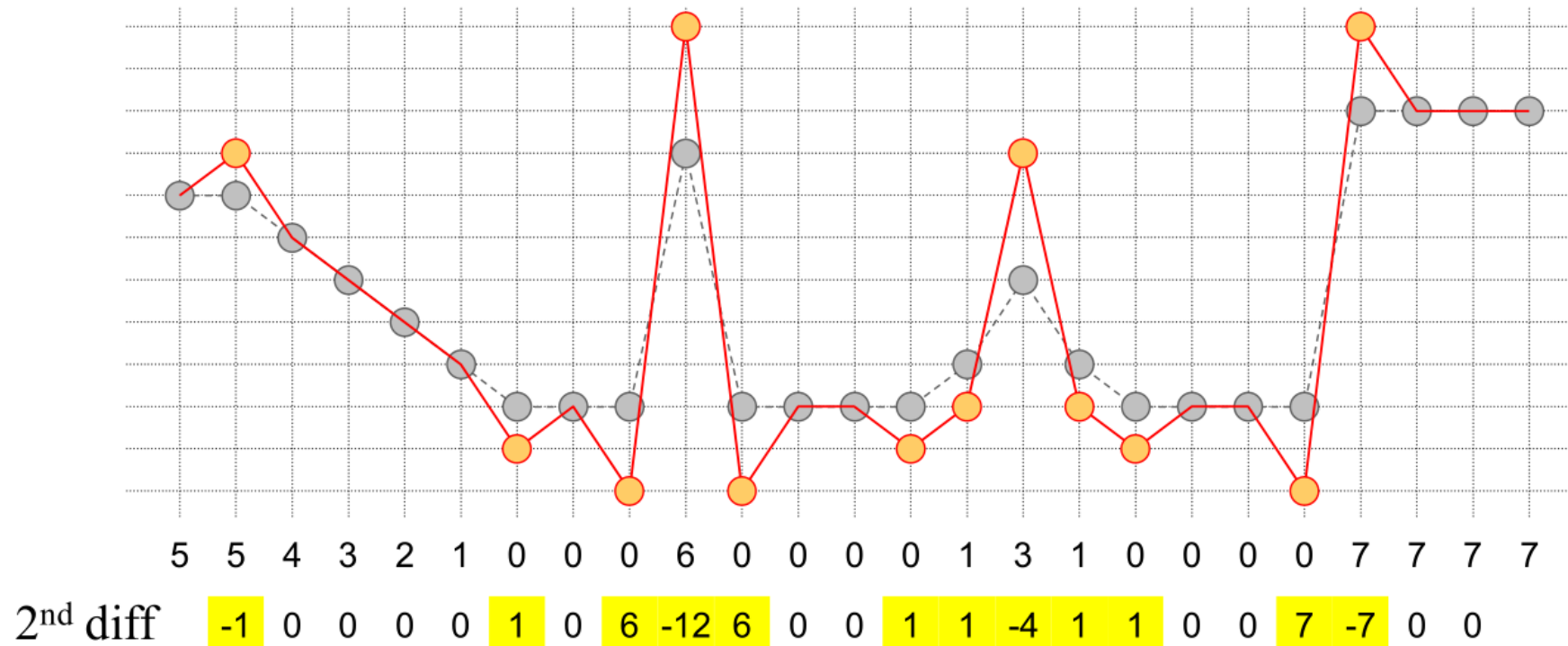
1	1	1
1	-8	1
1	1	1

0	-1	0
-1	4	-1
0	-1	0

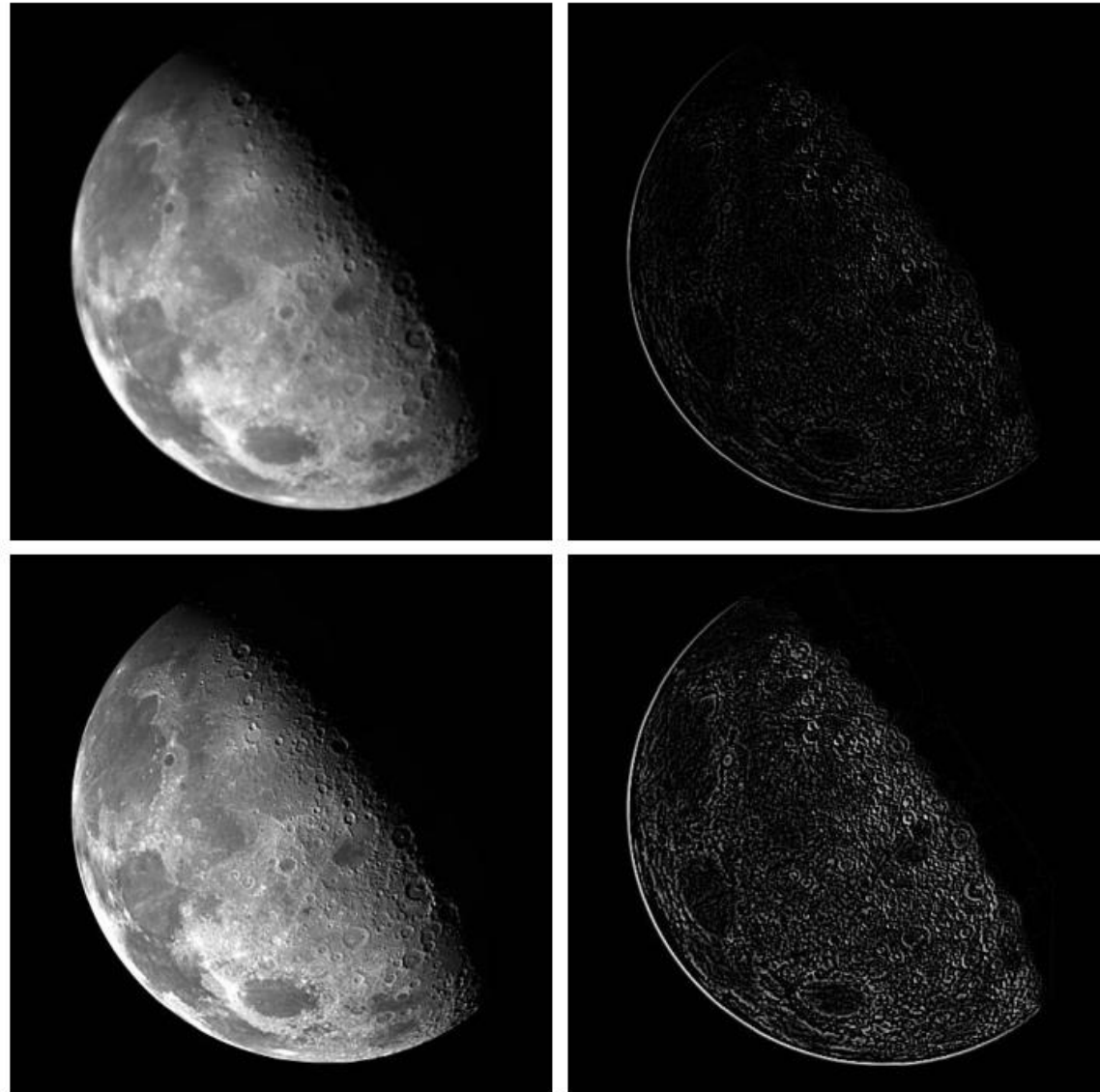
-1	-1	-1
-1	8	-1
-1	-1	-1

Sharpening with the Laplacian

$$g(x, y) = \begin{cases} f(x, y) - \nabla^2 f(x, y) & \text{if negative center} \\ f(x, y) + \nabla^2 f(x, y) & \text{if positive center} \end{cases}$$



Sharpening Spatial Filters



□ Simplification using a mask

$$g(x, y)$$

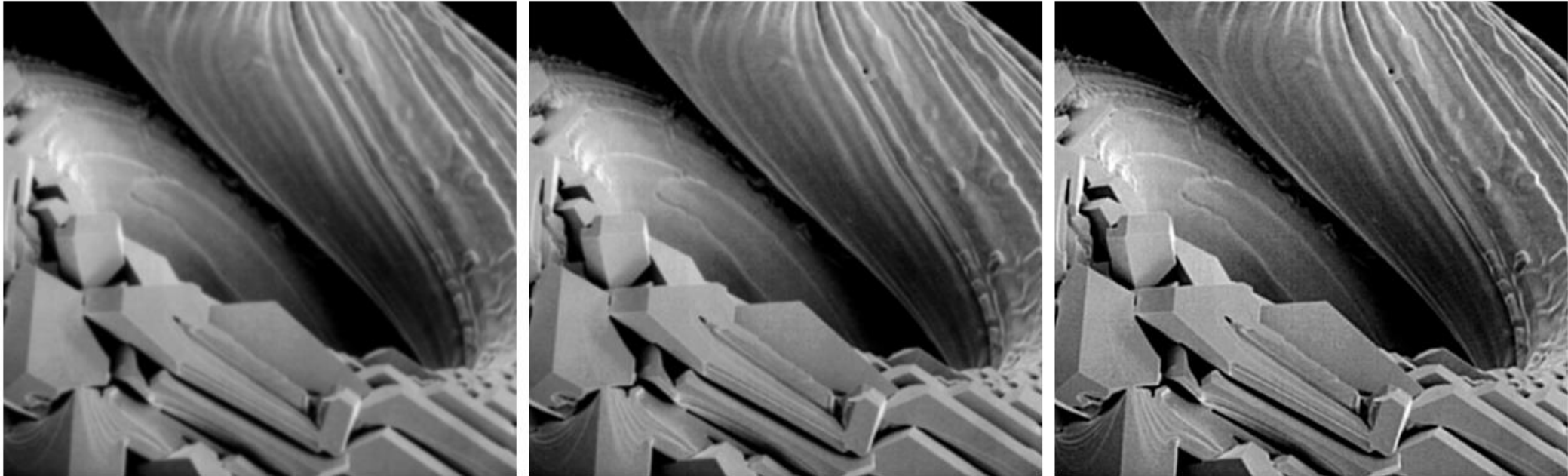
$$= f(x, y) + \nabla^2 f$$

$$= 5f(x, y) - [f(x+1, y) + f(x-1, y) + f(x, y+1) + f(x, y-1)]$$

0	-1	0
-1	5	-1
0	-1	0

-1	-1	-1
-1	9	-1
-1	-1	-1

Sharpening Spatial Filters

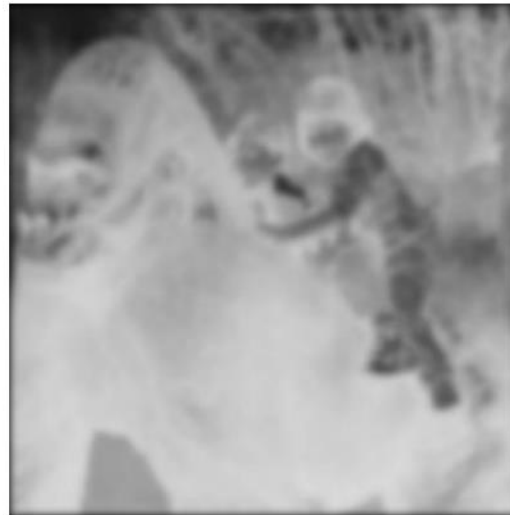


0	-1	0
-1	5	-1
0	-1	0

-1	-1	-1
-1	9	-1
-1	-1	-1

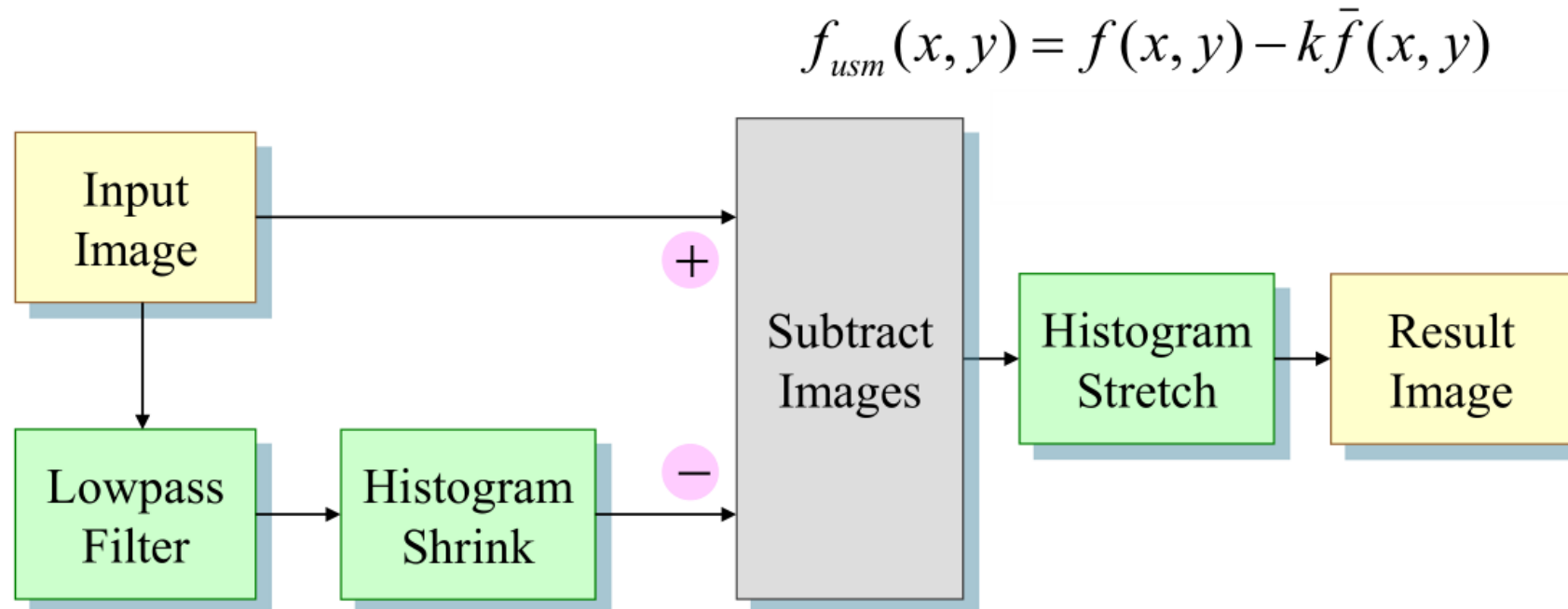
□ Unsharp Masking

- It sharpens the image by subtracting a blurred (lowpass) version of the original image
- Photographers used it for many years to enhance images
- It was accomplished during film development by superposing a blurred negative onto the corresponding positive film to produce a sharper result



Sharpening Spatial Filters

- The process is similar to adding a detail enhanced (highpass) version of the image to the original
- This process has visual effect of causing overshoot and undershoot at the edges, which has the effect of emphasizing the edges

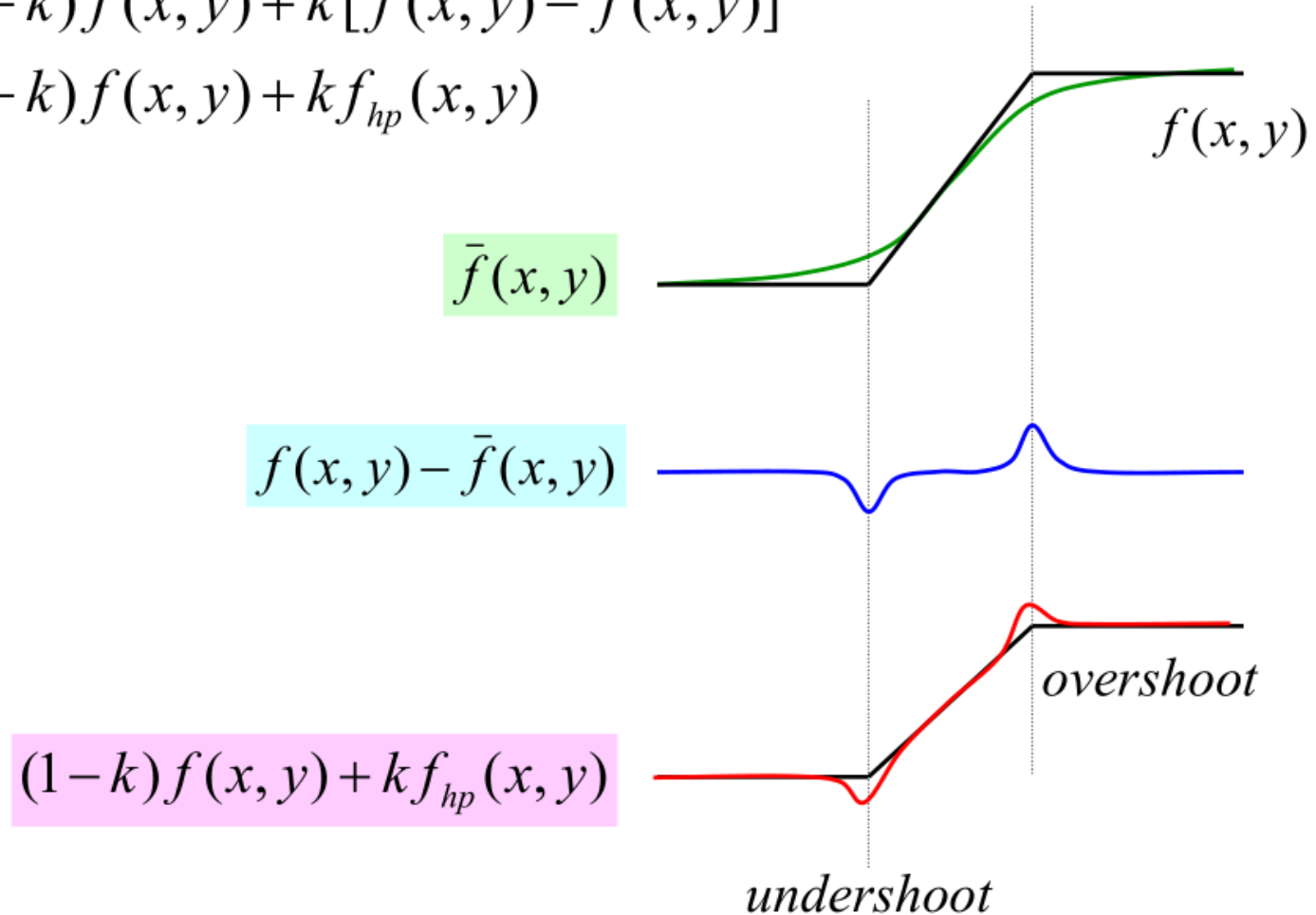


Sharpening Spatial Filters

$$f_{usm}(x, y) = f(x, y) - k\bar{f}(x, y)$$

$$= (1-k)f(x, y) + k[f(x, y) - \bar{f}(x, y)]$$

$$= (1-k)f(x, y) + kf_{hp}(x, y)$$



Sharpening Spatial Filters

Original
Image



Unsharp
masking
lower limit=0,
upper=100,
2% low and
high clipping

$k \approx 0.4$

Unsharp
masking
lower limit=0,
upper=150,
2% low and
high clipping



Unsharp
masking
lower limit=0,
upper=200,
2% low and
high clipping

$k \approx 0.8$

□ High-Boost Filtering

$$\begin{aligned}f_{hb}(x, y) &= Af(x, y) - \bar{f}(x, y) \\ &= (A-1)f(x, y) + f(x, y) - \bar{f}(x, y) \\ &= (A-1)f(x, y) + f_{hp}(x, y)\end{aligned}$$

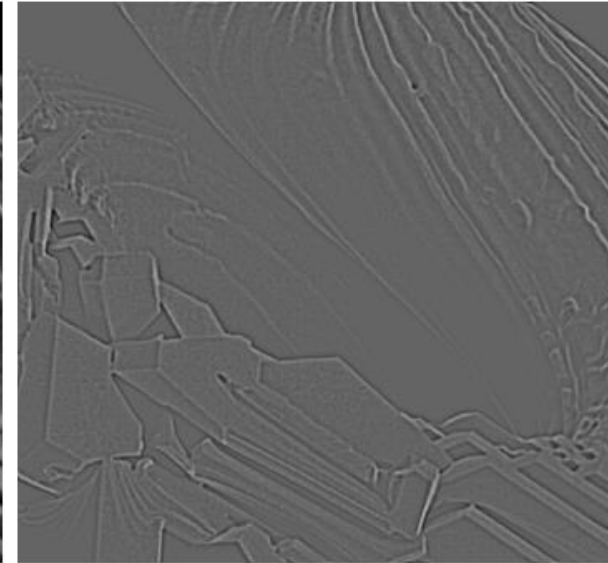
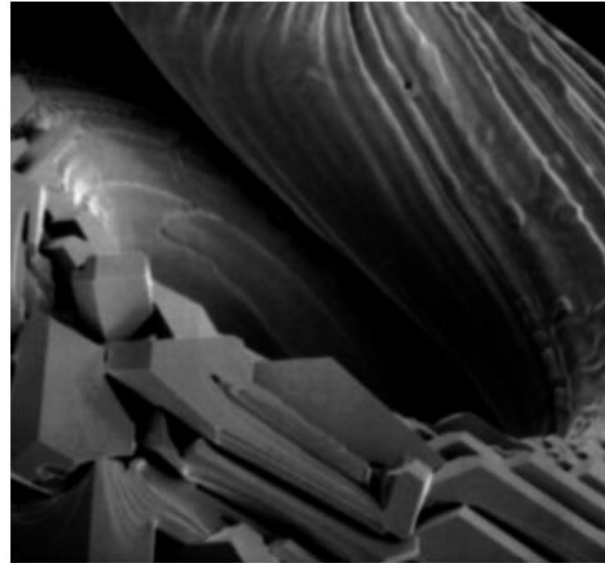
$$f_{hb}(x, y) = \begin{cases} Af(x, y) - \nabla^2 f(x, y) & \text{if negative center} \\ Af(x, y) + \nabla^2 f(x, y) & \text{if positive center} \end{cases}$$

0	-1	0
-1	$A+4$	-1
0	-1	0

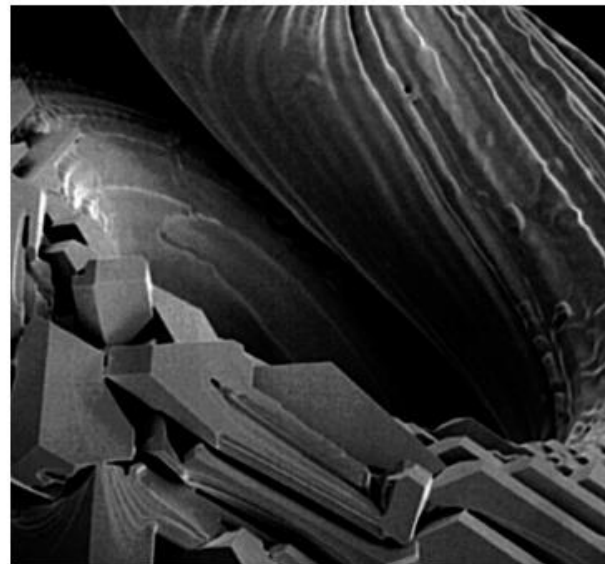
-1	-1	-1
-1	$A+8$	-1
-1	-1	-1

Sharpening Spatial Filters

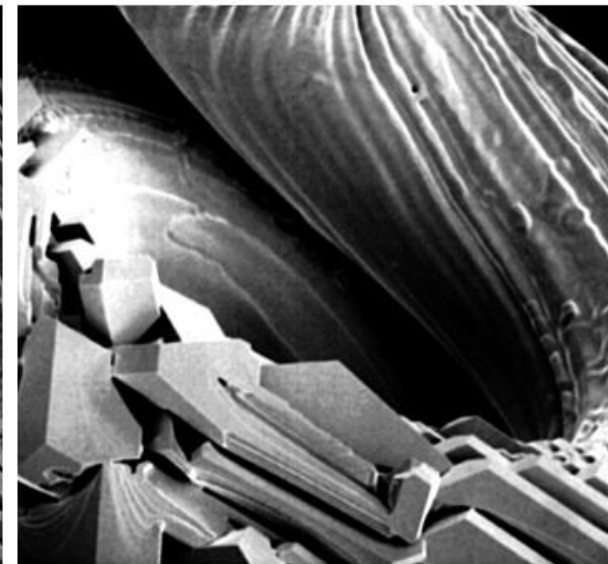
0	-1	0
-1	$A+4$	-1
0	-1	0



$A = 0$



$A = 1$



$A = 2$

Sharpening Spatial Filters

□ First-Order Derivative (Gradient)

$$\nabla f = \begin{bmatrix} G_x \\ G_y \end{bmatrix} = \begin{bmatrix} \frac{\partial f}{\partial x} \\ \frac{\partial f}{\partial y} \end{bmatrix}$$

$$\begin{aligned} \|\nabla f\| &= \text{mag}(\nabla f) = (G_x^2 + G_y^2)^{1/2} \\ &= \left[\left(\frac{\partial f}{\partial x} \right)^2 + \left(\frac{\partial f}{\partial y} \right)^2 \right]^{1/2} \\ &\approx |G_x| + |G_y| \end{aligned}$$

- Discrete form

-1	0	0	-1
0	1	1	0

Roberts Operator

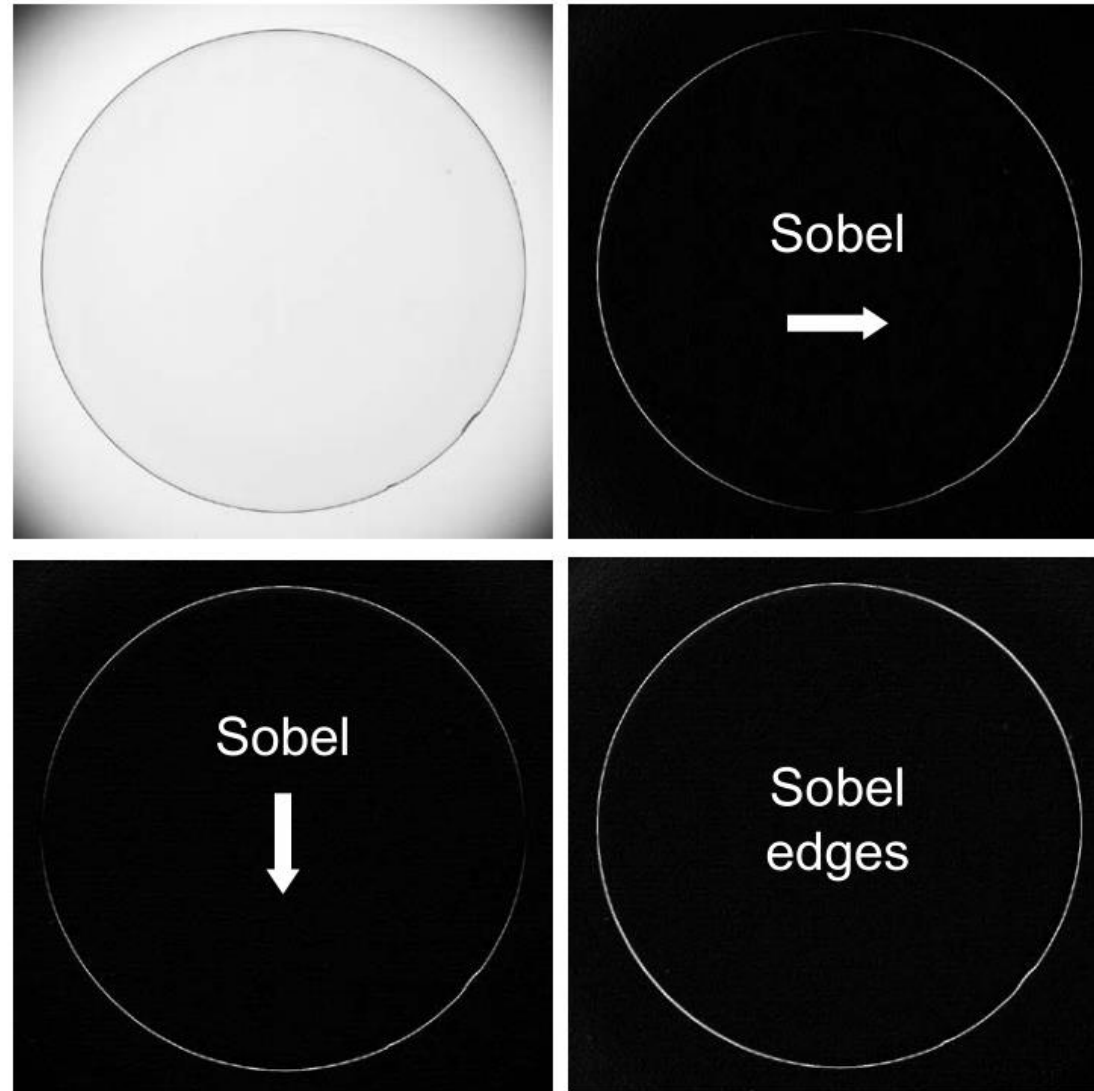
-1	-1	-1	-1	0	1
0	0	0	-1	0	1
1	1	1	-1	0	1

Prewitt Operator

-1	-2	-1	-1	0	1
0	0	0	-2	0	2
1	2	1	-1	0	1

Sobel Operator

Sharpening Spatial Filters



Summary

□ Spatial Filtering

- Fundamentals of Spatial Filtering
- Smoothing Spatial Filters
- Sharpening Spatial Filters

□ Next: Filtering in the Frequency Domain



Thank You!